



USHA FINANCIAL SERVICES LIMITED

CIN: L74899DL1995PLC068604

Registered Office: Plot No. 73, First Floor, Patparganj Industrial Area, Delhi- 110092

Email: Usha.nbfc@gmail.com, Website: www.ushafinancial.com

January 20, 2026

To,
The Manager,
Listing Compliance Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Subject: **Submission of Compliance Certificate in relation to Maintenance of Structured Digital Database by the Company pursuant to Regulation 3(5) and 3(6) of the Securities Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015**

SYMBOL: USHAFIN
REF: NSE/LIST/4811
ISIN: INE0LS001014

Dear Sir/ Madam,

With reference to the above-mentioned matter, we hereby wish to inform you that the Company has maintained Structured Digital Database during the quarter ended December 31, 2025 as per the provisions of Regulation 3(5) and 3(6) of the Securities Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Accordingly, the Company is herewith enclosed a copy of the Compliance Certificate given by the Compliance Officer of the Company in relation to the maintenance of Structured Digital Database during the quarter ended December 31, 2025.

Thanking You,

For and on behalf of
USHA FINANCIAL SERVICES LIMITED

Kritika
CS and Compliance Officer
Membership No. A65161

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2025

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ms. Kritika, Company Secretary and Compliance Officer of M/s Usha Financial Services Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. all the UPSI disseminated in the previous quarter have been captured in the Database;
4. the system has captured nature of UPSI along with date and time;
5. the database has been maintained internally and an audit trail is maintained;
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 42 number of events during the quarter ended 31st December, 2025 and has captured all the 42 number of the required events.

I would like to report that no non-compliance was observed in the previous quarter and therefore, no remedial action(s) taken along with timelines in this regard.

For and on behalf of
USHA FINANCIAL SERVICES LIMITED

Kritika
CS and Compliance Officer
M. No: A65161

Date: 20.01.2026
Place: Ghaziabad